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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ashkenazi, et al. Docket No.: 39780-2730P1C36
Serial No.: 09/997,601 Group Art Unit: 1647
Filing Date: November 15, 2001 Examiner: Romeo, David S.
For: **SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC
ACIDS ENCODING THE SAME**

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Date Mailed: January 6, 2005

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97

MAIL STOP: AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
 - ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
 - ☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
 - ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

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☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

☐ a certification as specified in §1.97(e) is provided below; or

☒ a fee of **\$180.00** as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

A. a certification as specified in §1.97(e) is completed below; and

B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**

C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of **\$180.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39780-2730P1C36)**.

Respectfully submitted,

Dated: January 6, 2005

By: 

Anna L. Barry (Reg. No. 51,436)

HELLER EHRMAN WHITE & MCAULIFFE LLP

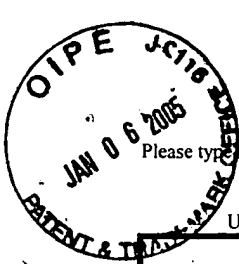
Customer no. 35489/09157

275 Middlefield Road

Menlo Park, California 94025-3506

Telephone: (650) 324-7000

Facsimile: (650) 324-0638



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PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/997,601		
	Filing Date	November 15, 2001	
	First Named Inventor	Avi ASHKENAZI, et al.	
	Group/Art Unit	1647	
	Examiner Name	David S. ROMEO	
Total Number of Pages in This Submission		Attorney Docket Number	39780-2730P1C36

ENCLOSURES (check all that apply)

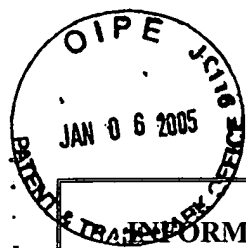
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Version with Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): 18 references submitted with the PTO 1449; stamped return postcard
Remarks AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER (Reference Atty. Docket No. 39780-2730P1C36).		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	ANNA L. BARRY, Reg. No. 51,436, HELLER EHRMAN WHITE & McAULIFFE LLP		
Signature			
Date	January 6, 2005	Customer Number:	35489/09157

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop <u>AMENDMENT</u> , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 20231, on this date: January 6, 2005			
Express Mail Label <u>EL 993 687 734 US</u>			
Typed or printed name	Sandra Puljic		
Signature		Date	January 6, 2005



INFORMATION DISCLOSURE STATEMENT PTO-1449			ATTY. DOCKET NO.: 39780-2730P1C36		SERIAL NO.: 09/997,601		
			APPLICANT : Avi ASHKENAZI, et al.				
			FILING DATE: November 15, 2001		GROUP: 1647		
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 98/39448	9/11/1998	PCT	C12N		<input type="checkbox"/>	<input type="checkbox"/>
	WO 98/42741	10/01/1998	PCT	C07K		<input type="checkbox"/>	<input type="checkbox"/>
	EP 0 607 054B1	7/20/1994	EP	C12N	15/12	<input type="checkbox"/>	<input type="checkbox"/>
	EP 0 834 563 A	4/8/1998	EP	C12N	15/12	<input type="checkbox"/>	<input type="checkbox"/>
	WO 97/07198	2/27/1997	PCT	C12N		<input type="checkbox"/>	<input type="checkbox"/>
	WO 99/63088 A	12/9/1999	EP	C12N	15/12	<input type="checkbox"/>	<input type="checkbox"/>
	WO 99/46375 A	9/16/1999	PCT	C12N	15/12	<input type="checkbox"/>	<input type="checkbox"/>
	WO 99/53040 A	10/21/1999	PCT	C12N	15/00	<input type="checkbox"/>	<input type="checkbox"/>
	WO 00/08145 A	2/17/2000	PCT	C12N	15/12	<input type="checkbox"/>	<input type="checkbox"/>
	WO 99/63083 A	12/9/1999	PCT	C12N	15/12	<input type="checkbox"/>	<input checked="" type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	NCBI, Accession Number: AF131820; Direct Submission, Submitted: February 26, 1999, Mei, G., et al.						
	NCBI, Accession Number: AA865629; National Cancer Institute, 1997, Strausberg, Robert						
	NCBI, Accession Number: AA150370; Genome Research, 1996, Hillier, L., et al.						
	NCBI, Accession Number: AA843667; National Cancer Institute, 1997, Strausberg, Robert						
	Klein, R. D., et al., "Selection for Genes Encoding Secreted Proteins and Receptors", Proceedings of the National Academy of Sciences of USA, No. 93, pp 7108-7113, July 1996.						
	Wallin, et al., "Properties of N-Terminal Tails in G-Protein Coupled Receptors: A Statistical Study", Protein Engineering, Vol. 8, No. 7, pp 693-698, 1995.						
	Yokoyama, -Kobayashi, M., et al., "A Signal Sequence Detection System Using Secreted Protease Activity As An Indicator", Gene, Vol. 163, No. 2, October 3, 1995.						
EXAMINER			DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.